reliancesmartmoney.com
#makeasmartmove

Automated Trading Facility & Authorization Form

(ALGO & BASKET ORDER)

Date_____   QRC No_____

To,
Reliance Securities Limited
11th Floor, R-Tech IT Park,
Nirlon Compound, Western Express Highway,
Goregoan (E), Mumbai 400063

Sub:-Automated Trading Facility & Authorization

I/We am/are registered client with you and dealing in Capital Market /Derivative Market /Currency Market having client code as mentioned below.

I/We am/are interested in availing the facility of trading through Decision Support Tools/Algorithms / Automated trading facility (ATF) and want to avail the facility with the following strategies:

Sr. No.	Name of Strategies	Exchange Segment	Applicable Charges	Sign at relevant option

I/We am/are hereby confirm that I/We are aware and have understood the risk associated with each of the strategies of ATF.

I/We am/are hereby confirm that I/we shall ensure availability of sufficient deposits/funds for margin /settlement obligations, in respect of the trades effected through ATF, as and when required.

I/We am/are aware that the order under ATF can be placed under the pre-approved strategies provided by you. I/We am/are also aware that the order under ATF can be placed on the CTCL terminal only i.e. Terminals which are operated by a Branch dealer or a registered sub-broker/Authorised Person dealer. Accordingly, in order to execute the strategy using ATF.

I/We am/are hereby unconditionally and irrevocably agrees and accepts that the I/We shall at all times be responsible for any losses, damages, costs, penalties or any other cost incurred as a result of availing Algorithmic Trading facility due to any reason whatsoever including but not limited to :

A-Dysfunction Algos:

1. Failure of the strategy of Algorithmic, wrong side orders, wrong strategy execute, excess quantity execution i.e. double execution or Algorithmic loop.
2. Any malfunctioning of the Algorithmic Trading System relating to limits, margins/adhoc margins, order quantity, scrip, contract group etc.
3. Any error because of some overflow in one of the arithmetic computation for order quantity.

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B- Technology Failure:

1. Failure to provide technology update required for platform functioning (for example java needs update, NET framework update, update in Algorithm etc.)
2. Failure to update the order execution messages from the Exchange.
3. Failure of the Network/ Connectivity /leased lines (Client to Broker), Exchange connectivity failure and/or any hardware failure
4. Failure on account of any kind of bug or virus in the Algorithmic code
5. Feeds received are far delayed or incorrect or any OMS/RMS failure.

I/We understand that where the Exchange cancels Algorithm trade(s) suo moto all such trades including the trade/s done by me/us or on behalf of me/us shall ipso facto stand cancelled.

I/ We am/ are aware that I/ we shall be bound by restrictions on the facility including withdrawal of the service, modification/withdrawal of parameters in order generation, securities/contracts permitted under the service, conditions under which further order generation is permitted, specific securities/contracts may not be allowed, acceptance of value for the parameter within prescribed limits and any other terms and conditions which may be imposed from time to time by the member /Exchange/SEBI.

I/We agree and accept that I/We will not hold Reliance Securities Limited (RSL) or their authorized dealers responsible and keep them indemnified against all non-intentional losses, damages, other liabilities or consequences, direct or indirect, arising out of my/our instruction to execute trades using ATF.

I/we confirm that ALGO strategies are fully explained to us along with its logic and we have fully understood the same

Yours faithfully

Signature of Client		**Accepted by**	☐ **Authorized person** ☐ **Sub-broker** ☐ **RSL Branch**
Name of Client		**Signature**	
Client Id		**Partner/ Branch Dealer Name**	
Mobile No		**Partner code**	

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