

Commodity Segment Activation Form

Commodity Segment (MCX/NCDEX) COMM 5000 or _____ Future _____ Option _____ Delivery: 0.50%

DELAYED PAYMENT INTEREST (DPI) :

The Delayed Payment Interest will be levied at the rate of 21% p.a. (plus taxes, if any applicable) for all the debits, from payin /payout date, compounded monthly. Delayed Payment Interest will be charged on the debits in client ledger.

For the purpose of debit, it will include:-

1. Debit against cash / commodity market transaction with or without NRML
2. Debit against Margin short-fall,
3. Debit against MTM on F&O transaction/ Commodity Future Transaction
4. Debit on account of "Delayed Payment Interest".
5. Debit on account of Brokerage due (including statutory charges)

Any Non- cash collateral balance in your account will not be adjusted against the cash margin requirement in F&O segment for the purpose of Debit Calculation. Marking of Pledge is purely for Exposure & Risk management Purpose only.

Guidelines for DPI:-

1. The Delayed Payment Interest will be accrued (at applicable rates) everyday (including holiday) at EOD on the debit balance in client ledger.
2. On first week of every month, a debit entry will be passed in client ledger for the total Delayed Payment Interest accrued for the previous month.
3. Once the DPI is posted in client ledger & because of that client ledger is in debit, DPI will be charged on that debit as well.
4. Accrued Delayed Payment Interest during the month will be deducted (on daily basis) from Exposure Limits allotted to client for trading purpose & from the funds available for withdrawals.

OTHER CHARGES:

Particulars	Charges ₹
Order placed by client at the Call & Trade desk (Call Centre)	20 per call (First 20 calls per month will be free)
Enquiry Charges (First 5 enquiries are free every month)	5 per enquiry
Physical copy of duplicate contract notes	40 per contract note
Physical copy of duplicate Ledger statement	40 per statement

No transaction charges will be levied for the following mode of funds transfer till further notification:

NEFT/RTGS/UPI

I/we request you to activate the following segment in my/our trading account.

Name of Commodity Exchange	Derivative / Option (Pls Tick)	Date of Consent for trading on concerned Exchange	Signature of the Client
Multi Commodity Exchange of India Ltd. (MCX)			
National Commodity & Derivatives Exchange Ltd. (NCDEX)			

Client Classification:-

Please mention commodity/ name and select the classification. If no option is selected, Retail - Individual will be considered as default.

Name of Commodity	Physical Commodity Trader / Value Chain Participants	Processor / Miller	Retail - Individual	Farmer (Only for Agri-commodity)
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Are you U.S person?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Specify country of residence for tax purpose (Tax Residency)	<input type="checkbox"/> India	<input type="checkbox"/> Other
Specify country of citizenship	<input type="checkbox"/> India	<input type="checkbox"/> Other

Client Signature : _____

Confirmation of client details	
Name	Pan Number
Bank Details (Bank Name and Bank account Number)	
Client Correspondence address	
Mobile Number	_____ belongs to <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Parent
Email ID	_____ belongs to <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Parent
Income Range	<input type="checkbox"/> 0 - 1 Lac <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> 10 - 25 Lac <input type="checkbox"/> 25 Lacs and above

COMMODITY SEGMENT ACTIVATION FORM

Equity and Commodity merger consent (to be ticked if account is available in Commodity segment)

Consent for transfer of trading account from Reliance Commodities Limited (Outgoing Member) to Reliance Securities Limited (Incoming Member) pursuant to Transfer of Membership by way of Slump sale.

I/We am/are registered client with you and dealing in Commodity Market. I/We understand that the MCX and NCDEX Membership of M/s Reliance Limited (RCoL) is now transferred to M/s Reliance Securities Limited (RSL) (Incoming Member) pursuant to integration of broking activities by way of Slump Sale. In view of the Transfer of Membership as stated above, I/We agree for transfer of my/our trading account with RCoL to RSL.

I/We further agree to abide by the Rules, Regulations and Bye-laws of the Exchange. I/We also agree and understand that upon transfer:

- a) My/our relationship with RCoL shall stand transferred and assigned to RSL and all contracts, documents etc executed with RCoL shall be deemed to have been executed with RSL.
- b) All rights, responsibilities, roles, obligations, duties etc of RCoL as mentioned in the agreements, contracts or documents shall stand transferred to RSL without any further communication in this regard.

ACKNOWLEDGEMENT

To,

Reliance Securities Limited

11th Floor, R-Tech IT Park, Nirlon Compound, Next to Hub Mall, Off Western Express Highway, Goregaon (East), Mumbai - 400063

I/We hereby acknowledge that the following documents/ process were made available/ explained to me:

1. Rights and Obligations of Stock Brokers, Authorized Person and Clients (Securities & Commodities)
2. Right and Obligations of Beneficial owner and Depository participant (DP)
3. Rights and Obligations of Clearing Member/Participant and its Client (SLBS)
4. Risk Disclosure Document (Securities & Commodities)
5. Additional Risk Disclosure documents for Options Trading (Commodity)
6. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
7. Policies and Procedures of Reliance Securities Ltd. pursuant to the SEBI circular dated December 03, 2009.
8. General Terms and Conditions governing Securities & Commodities trading and Broking services of Reliance Securities Ltd.
9. Terms and Conditions for Basic Services Demat Account (BSDA), if applicable I/We understand that the Voluntary documents executed by me are out of my/our free will.

I/We hereby confirm that I have clearly understood and agree to abide by the Policies and Procedures, Rights and obligations, Terms and Conditions (containing para 1 to 105) and Tariff Structure and be bound by same and by the bye laws as are in force from time to time as described by Reliance Securities Ltd. (RSL). I also understand and agree that these Policies and Procedures, Terms and Conditions and Tariff Structure can be changed by RSL from time to time with prior notice of 30 days through email.

I/We aware that the welcome kit, login Id and password of my trading account will be sent on my email ID and alerts on Mobile no. furnished in KYC form and any changes thereof.

I/We hereby declare that any change in the information submitted by me/us in regard to FATCA/CRS shall be intimated to RSL immediately.

I/We have been informed that the forms/ documents / tariff would be made available to us through our log-in in our account on RSL website. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application and I/We undertake that it would be my/our responsibility to check, scrutinize the said forms and documents within 15 days of account opening and intimate discrepancies if any therein to RSL immediately and in any case within one month of account opening. We further agree that any false/misleading information given by me/us or suppression of any material information will render my/our account liable for termination and suitable action.

IMPORTANT: Welcome Kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

Client Signature : _____

Know Your Client (KYC)
Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters

Fields marked * are mandatory

Fields marked * are pertaining to CKYC and mandatory only if processing CKYC also

Application Number: _____

Application Type*: New KYC Modification KYC

KYC Mode*: Please Tick (✓)

Normal EKYC OTP EKYC Biometric Online KYC Offline EKYC Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* _____ Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) _____

Maiden Name* (if any) _____

Fathers/Spouse's Name* _____

Date of Birth* _____

Gender* Male Female Transgender

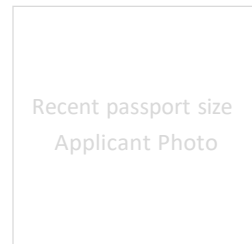
Marital Status* Single Married

Nationality* Indian Other _____

Residential Status* Resident Individual Non Resident Indian

Please Tick (✓) Foreign National Person of Indian Origin*

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)



Cross Signature across photograph

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

A — Aadhaar Card XXXX XXXX _____ (Expiry Date) _____

B — Passport Number _____ (Expiry Date) _____

C — Voter ID Card _____ (Expiry Date) _____

D — Driving License _____

E — NREGA Job Card _____

F — NPR _____

Z — Others _____ (any document notified by Central Government)

Identification Number _____

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* _____

Line 2 _____

Line3 _____

City/Town/Village* _____ District* _____ Pin Code* _____

State* _____ Country* _____

Address Type* Residential/Business Residential Business Registered Office Unspecified

Applicant e-SIGN



B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1*

Line 2

Line3

City/

Town/Village*

District*

Pin Code*

State*

Country*

Address Type* Residential/Business Residential Business Registered Office Unspecified**Proof of Address*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

<input type="checkbox"/> A — Aadhaar Card	XXXX XXXX _____		
<input type="checkbox"/> B — Passport Number	_____	(Expiry Date)	_____
<input type="checkbox"/> C — Voter ID Card	_____		
<input type="checkbox"/> D — Driving License	_____	(Expiry Date)	_____
<input type="checkbox"/> E — NREGA Job Card	_____		
<input type="checkbox"/> F — NPR Letter	_____		
<input type="checkbox"/> Z—Others	_____	(any document notified by Central Government)	
Identification Number	_____		

3. Contact Details (in CAPITAL)

Email ID* _____

Mobile No. * _____

Tel (off) _____ Tel (Res) _____

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: _____

PLACE: _____

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date _____

Emp. Name _____

Emp. Code _____

Emp. Designation _____

 Self certified document copies received (OVD) True Copies of documents received (Attested)

AMC / Intermediary Name :

Reliance Securities Limited

Employee Signature and Stamp

Institution Name and Stamp