

Investment Advisory

Complaint Dashboard- Investment Advisory

Number of complaint for the Financial Year-2020-2021

| Month | At the beginning of the month | Received during the month | Resolved during the month | Pending at the end of the month | Reasons for pendency |
|----------------|-------------------------------|---------------------------|---------------------------|---------------------------------|----------------------|
| April-2020 | Nil | Nil | Nil | Nil | NA |
| May-2020 | Nil | Nil | Nil | Nil | NA |
| June-2020 | Nil | Nil | Nil | Nil | NA |
| July-2020 | Nil | Nil | Nil | Nil | NA |
| August-2020 | Nil | Nil | Nil | Nil | NA |
| September-2020 | Nil | Nil | Nil | Nil | NA |
| October-2020 | Nil | Nil | Nil | Nil | NA |
| November-2020 | Nil | Nil | Nil | Nil | NA |
| December-2020 | Nil | Nil | Nil | Nil | NA |
| January-2021 | Nil | Nil | Nil | Nil | NA |
| February-2021 | Nil | Nil | Nil | Nil | NA |
| March-2021 | Nil | Nil | Nil | Nil | NA |

Number of complaint for the Financial Year-2021-2022

| Month | At the beginning of the month | Received during the month | Resolved during the month | Pending at the end of the month | Reasons for pendency |
|----------------|-------------------------------|---------------------------|---------------------------|---------------------------------|----------------------|
| April-2021 | Nil | Nil | Nil | Nil | NA |
| May-2021 | Nil | Nil | Nil | Nil | NA |
| June-2021 | Nil | Nil | Nil | Nil | NA |
| July-2021 | Nil | Nil | Nil | Nil | NA |
| August-2021 | Nil | Nil | Nil | Nil | NA |
| September-2021 | Nil | Nil | Nil | Nil | NA |
| October-2021 | Nil | Nil | Nil | Nil | NA |
| November-2021 | Nil | | | | |