

Broking

Investor Complaint Data for Broking for August 2024								
SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	3	1	2	0	0	3
2	SEBI (SCORES)	1	2	0	3	0	0	2
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources, ODR	0	8	0	8	0	0	2
	Grand Total	1	13	1	13	0	0	

Trend of Monthly Disposal of Complaints - Broking					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	3	15	17	1
2	May-2024	1	16	12	5
3	Jun-2024	5	10	14	1
4	Jul-2024	1	7	7	1
5	Aug-2024	1	13	13	1

Trend of annual disposal of complaints (Broking)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-25	3	63	62	1
2	2023-24	2	199	198	3
3	2022-23	60	2239	2297	2
4	2021-22	36	3625	3601	60
5	2020-21	86	4636	4680	42
6	2019-20	120	3483	3517	86
7	2018-19	142	3014	3036	120

Depository

Investor Complaint Data for Depository for August, 2024								
S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolutio n time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	6	1	5	1	-	3
2	SEBI (SCORES)	0	8	0	8	0	-	2
3	Stock Exchanges	0	0	0	0	0	-	0
4	Other Sources, ODR	0	10	0	10	0	-	2
	Grand Total	0	24	1	23	1	0	

Trend of Monthly Disposal of Complaints - Depository					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	0	4	4	0
2	May-2024	0	14	13	0
3	Jun-2024	1	18	19	0
4	Jun-2024	0	7	7	0
5	Jul-2024	0	7	7	0
5	Aug-2024	0	24	23	1

Trend of annual disposal of complaints (Depository)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2024-25	0	74	73	1
2	2023-24	0	141	141	0
3	2022-23	1	145	146	0
4	2021-22	6	288	293	1
5	2020-21	86	4636	4680	42
6	2019-20	120	3483	3517	86
7	2018-19	142	3014	3036	120

Trend of Monthly Disposal of Complaints - Investment Advisory (BAS)					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	Nil	Nil	Nil	Nil
2	May-2024	Nil	Nil	Nil	Nil
3	Jun-2024	Nil	Nil	Nil	Nil
4	Jul-2024	Nil	Nil	Nil	Nil
5	Aug-2024	Nil	Nil	Nil	Nil

Trend of Annual Disposal of Complaints - Investment Advisory (BAS)					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	1	1	Nil
5	2022-23	Nil	1	1	Nil
6	2023-24	Nil	Nil	Nil	Nil
7	2024-25	Nil	Nil	Nil	Nil

Trend of Monthly Disposal of Complaints - Research					
Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr-2024	Nil	Nil	Nil	Nil
2	May-2024	Nil	Nil	Nil	Nil
3	Jun-2024	Nil	Nil	Nil	Nil
4	Jul-2024	Nil	Nil	Nil	Nil
5	Aug-2024	Nil	Nil	Nil	Nil

Trend of Annual Disposal of Complaints - Research					
SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	Nil	Nil	Nil	Nil
2	2019-20	Nil	Nil	Nil	Nil
3	2020-21	Nil	Nil	Nil	Nil
4	2021-22	Nil	Nil	Nil	Nil
5	2022-23	Nil	Nil	Nil	Nil
6	2023-24	Nil	Nil	Nil	Nil
7	2024-25	Nil	Nil	Nil	Nil